# Mixtures 

DNA Statistics Workshop<br>ISFG<br>2007



Methods used in the interpretation of mixtures.

|  | Number of alleles showing |  |  |  |  |  |  |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
|  | 1 | 2 | 3 | 4 | 5 | 6 |  |
| D3 | 0.00 | 0.05 | 0.37 | 0.46 | 0.12 | 0.00 |  |
| TतATA | This has been |  |  |  |  |  |  |
| a major issue in some Australian cases |  |  |  |  |  |  |  |
| D18 | 0.00 | 0.01 | 0.11 | 0.39 | 0.40 | 0.10 |  |
| D19 | 0.00 | 0.08 | 0.35 | 0.40 | 0.15 | 0.01 |  |
| THO | 0.00 | 0.07 | 0.40 | 0.44 | 0.09 | 0.00 |  |
| FGA | 0.00 | 0.01 | 0.14 | 0.42 | 0.35 | 0.07 |  |

The proportion of three person mixtures that would present four or fewer alleles for the $\mathrm{SGM}^{+}$тм is 0.033 .
The result for the Profiler Plus ${ }^{\text {TM }}$ loci was 0.062

## "Exclusion" type approaches

- Random man not excluded/included
- Conditional random man not excluded
- One of the preferred choices in the US
- DAB draft
- "PE provides an estimate of the portion of the population that has a genotype comprising of an allele or alleles not observed in the mixed profile"


## Probability of exclusion

- the exclusion probability at this locus $\left(\mathrm{PE}_{1}\right)$ is

$$
P E_{l}=1-\left(\sum_{i=1}^{n} p\left(A_{i}\right)\right)^{2}
$$

- assuming Hardy-Weinberg equilibrium
- The PE across multiple loci (PE) is calculated as

$$
P E=1-\prod_{l}\left(1-P E_{l}\right)
$$

| Locus 1 | Locus 2 |
| :---: | :---: |
| abc | de |


| Allele | Allele <br> probability |
| :---: | :---: |
| a | 0.10 |
| b | 0.12 |
| c | 0.08 |
| d | 0.13 |
| e | 0.10 |


|  | Locus 1 | Locus 2 |  |
| :---: | :---: | :---: | :---: |
|  | abc | de |  |
| $\sum_{i} \operatorname{Pr}\left(A_{i}\right)$ | 0.30 | 0.23 |  |
| $1-\left(\sum_{i} \operatorname{Pr}\left(A_{i}\right)\right)^{2}$ | 0.91 <br> 1 | 0.9471 <br> $\downarrow$ | 0.995239 <br> $=\mathrm{CPE}$ <br> 1 |
| $1-\mathrm{PE}=\mathrm{PI}$ | $0.09 \rightarrow$ | $0.0529 \longrightarrow$ | $0.004761=$ <br> CPI |


| Locus 1 | Locus 2 |
| :---: | :---: |
| abcd | efg |


| Allele | Allele <br> probability |
| :---: | :---: |
| a | 0.10 |
| b | 0.12 |
| c | 0.08 |
| d | 0.13 |
| e | 0.10 |
| f | 0.12 |
| g | 0.25 |

## Probability of exclusion

- "Not as powerful as LR"
- Bruce Budowle 2001
- Some people like the idea that it does not assume the number of contributors in the mixture
- What is the proper place for it in mixture analysis? Has it still got a place?


## Probability of exclusion - Brenner's point

- A Alleles in mixture
- B Genotype of suspect
- C Suspect is not excluded

- Can you work out C from $\mathrm{A} \& \mathrm{~B}$ ?
- Can you work out B from A \& C?


## Parameters heterozygous balance and stutter

- Two definitions
- Consider the total area of the allelic products, $\varphi \mathrm{A}$, associated with an allele at a locus. We sum the areas for the $\mathrm{n}+1$ and n bands and ( $\mathrm{n}-4$ ) stutter allele ( $\varphi \mathrm{S}$ ). $\mathrm{n}-8$ and other stutter bands are ignored.

$$
H b=\frac{\phi_{A}^{H M W}}{\phi_{A}^{L M W}}
$$

$$
H b=\frac{\phi_{A}^{\text {smaller }}}{\phi_{A}^{\text {arger }}}
$$



## Typical heterozygote imbalance

- General guideline - $0.6 \leq \frac{\phi_{N \& N+1}^{H N W}}{\phi_{N \& N+1}^{L M W}} \leq 1.66$



## Stepwise implementation of the binary approach

- Hypothesis formation and \# of contributors
- Is there a conditioning profile (take care with the word "conditioning")
- Assignment of possible combinations
- Determination of "who is behind the bar"
- Calculation of the LR


## Consider

- Victim(V)
- Suspect(S)
- Stain is

AB
CC
ABC

Victim states that she was raped by one man and has no consentual partners. Intimate sample.


## Set up the Hypotheses

- $H_{p}$ : The stain contains the DNA of the suspect and the victim
- $H_{d}$ : The stain contains the DNA of the the victim and a random person


## Mixtures

- $\mathrm{p}\left(E \mid H_{p}\right)=1$
- Given $H_{d}$
- the true offender could be $\mathrm{AC}, \mathrm{BC}$, or CC


## To try



$$
\begin{aligned}
& \mathrm{V}=\mathrm{AB} \\
& \mathrm{~S}=\mathrm{CD}
\end{aligned}
$$

## Combinations without area

- Please write out all the combinations for a two person mixture.
- Assume allelic dropout is not an issue.
- Four peak locus
- Three peak locus
- Two peak locus
- One peak locus


## Combinations without area

- Four peak locus
- ABCD
- 6 combinations
- 3 pairs

| RM1 | RM2 |
| :---: | :---: |
| AB | CD |
| AC | BD |
| AD | BC |
| BC | AD |
| BD | AC |
| CD | AB |

## Combinations without area

- Three peak locus ABC
- 12 combinations

| RM1 | RM2 |
| :---: | :---: |
| AA | BC |
| BB | AC |
| CC | AB |
| AB | AC |
| AB | BC |
| AC | BC |


| RM1 | RM2 |
| :---: | :---: |
| BC | AA |
| AC | BB |
| AB | CC |
| AC | AB |
| BC | AB |
| BC | AC |

## Combinations without area

- Two peak locus AB
- 7 combinations

| RM1 | RM2 |
| :---: | :---: |
| AA | BB |
| AB | BB |
| AB | AA |
| AB | AB |
|  |  |
|  |  |


| RM1 | RM2 |
| :---: | :---: |
| BB | AA |
| BB | AB |
| AA | AB |
|  |  |
|  |  |
|  |  |

## Combinations without area

| RM1 | RM2 |
| :---: | :---: |
| AA | AA |
|  |  |
|  |  |
|  |  |
|  |  |
|  |  |

- One peak locus A
- 1 combination


## Eliminating Combinations with area

- Provisional rules
- Four peak locus
- ABCD
- For each het
- Simple het guideline

$$
0.6 \leq \frac{\phi_{1}}{\phi_{2}} \leq 1.66
$$

| RM1 | RM2 |
| :---: | :---: |
| AB | CD |
| AC | BD |
| AD | BC |
| BC | AD |
| BD | AC |
| CD | AB |

## Eliminating Combinations with area

- Three peak locus
- ABC
- For each het

$$
0.6 \leq \frac{\phi_{1}}{\phi_{2}} \leq 1.66
$$

Shared het guideline

$$
0.6 \leq \frac{\phi_{s}}{\phi_{2}+\phi_{3}} \leq 1.66
$$

Shared allele

## Eliminating Combinations with area

- Two peak locus AB
- 7 combinations

Het Hom guideline

$$
0.6^{*} \phi_{1} \leq \phi_{s}
$$

Simple het guideline

$$
0.6 \leq \frac{\phi_{1}}{\phi_{2}} \leq 1.66
$$

## Eliminating combinations

- What is left?
- Calculate Mx for each combination


## Eliminating Combinations with area 4

 peak loci$$
\begin{aligned}
& \hat{M} x=\frac{\phi_{1}+\phi_{2}}{\phi_{1}+\phi_{2}+\phi_{3}+\phi_{4}} \\
& 1-\hat{M} x=\frac{\phi_{3}+\phi_{4}}{\phi_{1}+\phi_{2}+\phi_{3}+\phi_{4}}
\end{aligned}
$$

| RM1 | RM2 |
| :---: | :---: |
| AB | CD |
| AC | BD |
| AD | BC |
| BC | AD |
| BD | AC |
| CD | AB |

## Eliminating Combinations with area 3 peak loci

## Eliminating Combinations with area 2 peak loci



No info

## Eliminating combinations

- What is left?
- Calculate Mx for each combination
- Are any combinations inconsistent on the basis of Mx?
- At the moment this relies on the judgement and experience of the RO
- We may be able to make a guideline from further data analysis being planned - currently $\pm 0.35$ Amanda Kirkham

|  | Nunber of alleles showing |  |  |  |  |  |
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|  | 1 | 2 | 3 | 4 | 5 | 6 |
| D3 | 0.00 | 0.05 | 0.37 | 0.46 | 0.12 | 0.00 |
| VWA | 0.00 | 0.04 | 0.29 | 0.47 | 0.19 | 0.02 |
| D16 | 0.00 | 0.09 | 0.40 | 0.41 | 0.10 | 0.01 |
| D2 | 0.00 | 0.01 | 0.10 | 0.39 | 0.39 | 0.11 |
| D8 | 0.00 | 0.04 | 0.26 | 0.44 | 0.24 | 0.03 |
| D21 | 0.00 | 0.02 | 0.19 | 0.43 | 0.30 | 0.06 |
| D18 | 0.00 | 0.01 | 0.11 | 0.39 | 0.40 | 0.10 |
| D19 | 0.00 | 0.08 | 0.35 | 0.40 | 0.15 | 0.01 |
| THO | 0.00 | 0.07 | 0.40 | 0.44 | 0.09 | 0.00 |
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## "conclusion"

- Dear colleagues I just had not written this bit when they printed the handouts. Actually perhaps that is something we could work on developing together. This probably is the "cutting edge" of mixtures
- \# of contributors
- 3 or more persons
- Uncertainty in the \#

End

